

# Bacterial Transcription Regulation

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*Escherichia coli* and possibly all other bacteria regulate transcription predominantly at the stage of initiation by repressors and/or activators.

## Introduction

Bacteria live in an ever-changing world. They have to adapt again and again to these changes. *Escherichia coli*, for example, which lives in the gut of mammals, has to adapt to the constantly changing food these animals eat. It is thus a necessity for *E. coli* to regulate the synthesis of its enzymes according to its needs. Enzymes that break down such as lactose are only needed when these compounds are present; enzymes that synthesize other compounds, for example amino acids, are only needed when these amino acids are absent.

## Historical Development of the Field

The first systematic analysis of adaptation was done in the 1930s by the Finnish biochemist Henning Karström (Karström, 1938). His work was then repeated in the 1940s by the French geneticist Jacques Monod. Monod analysed in particular the growth of *E. coli* in the presence of mixtures of glucose and other sugars, such as lactose, arabinose or maltose. Monod confirmed Karström's observation that glucose is always used first. Monod called this phenomenon diauxie.

In the 1940s it thus became clear that certain sugars, like lactose, arabinose or maltose, induce the synthesis of enzymes that break these sugars down to compounds that can be used directly by the bacteria. On the other hand, certain amino acids, such as tryptophan, methionine or histidine, repress the synthesis of enzymes that synthesize them. It thus became necessary to decide which system should be analysed extensively. The answer was unambiguous. It was the *lac* system of *E. coli*, for which the best tests had been developed. *o*-Nitrophenyl- $\beta$ -D-galactoside (ONPG) was a perfect substrate to test for the presence of  $\beta$ -galactosidase. ONPG is colourless but when hydrolysed by  $\beta$ -galactosidase its product, nitrophenol, is yellow. Moreover, isopropyl-1-thio- $\beta$ -D-galactoside (IPTG), an analogue of lactose, was synthesized. It induces the synthesis of  $\beta$ -galactosidase but is not a substrate of  $\beta$ -galactosidase. With these two substances it could be demonstrated that  $\beta$ -galactosidase can be induced about 1000-fold. Moreover, it could be demonstrated that the synthesis of  $\beta$ -gala-

## Advanced article

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ctosidase is *de novo* synthesis. This destroyed the then prevailing instruction hypothesis that the inducer forced an already synthesized precursor protein to fold correctly into active  $\beta$ -galactosidase, like antibodies were thought to fold in the presence of antigens in their final conformation.

It was not just excellent biochemistry that led to the solution of the problem, for genetic mutant analysis also helped enormously. Two types of mutants were isolated. One mutant type could not grow on lactose. At that time, three types of such *lac*<sup>-</sup> mutants were found which could not grow on lactose. There were mutations that destroyed activity of  $\beta$ -galactosidase (*Z*<sup>-</sup>) and others that left  $\beta$ -galactosidase activity intact. It was shown that these latter mutants were defective in lactose permease (*Y*<sup>-</sup>), the pump that pumps lactose from the outside into the cell. There was also a third type of mutant in which both  $\beta$ -galactosidase and lactose permease activity were absent. Genetic mapping indicated that the *Z* and *Y* mutations were closely linked. The two genes are indeed part of one transcription unit, of one operon.

The other type of mutant was constitutive, i.e. it synthesized  $\beta$ -galactosidase in large amounts even in the absence of inducer. These mutants were called *I*<sup>-</sup>. Again, these mutations were closely linked to the *lacZ* and *lacY* genes. The question was now, how does regulation of  $\beta$ -galactosidase and Lac permease work? Does it work in a positive manner? Does the product of the *I* gene activate transcription of the *lacZ* and *lacY* genes in the presence of inducer or a suitable mutation? Or does it regulate in a negative manner, i.e. is the synthesis of *lacZY* message inhibited by the presence, but decontrolled in the absence, of the product of the *lacI* gene?

While these questions were raised in the late 1950s and early 1960s, the existence of messenger ribonucleic acid (mRNA) was demonstrated. It then seemed to make sense to assume that the product of the *lacI* gene inhibits the initiation of *lac* mRNA synthesis. The evidence for the

model which Jacob and Monod then presented in 1960 and 1961 was the following (Jacob and Monod, 1961). They presented the two types of *lac* constitutive mutants that were predicted for negative control and were incompatible with positive control:

1. They found constitutive recessive mutations mapping in the *lacI* gene. In other words, the presence of an  $I^+$  gene on an episome or a chromosome is dominant over an  $I^-$  mutation on the chromosome, or vice versa, on an episome. This is what one would expect in the case of negative control. The absence of repressor leads to overproduction of  $\beta$ -galactosidase and Lac permease. Its presence is dominant over its absence.
2. Lac repressor should, according to the model, interact with the operator, a region close to the promoter, the starting site of transcription. Jacob and Monod found weak, constitutive  $O^c$  mutations which were *cis* dominant. They thus result in constitutive synthesis of the genes which are covalently linked to the region which carries the  $O^c$  mutation. Genes in *trans* are not turned on. This is exactly what one would expect from negative control.

The repressor–operator model was so convincing because it was not only presented by Monod for the *lac* system but also, by Jacob, for the machinery of phage lambda ( $\lambda$ ) determining lysis or lysogeny of *E. coli*. Phage  $\lambda$ , discovered by Esther Lederberg, has two ways to develop after entering *E. coli*. In the lytic pathway it may multiply and lyse the *E. coli* host cell. In the lysogenic pathway it may incorporate its deoxyribonucleic acid (DNA) at a particular site in *E. coli* DNA and stay there forever. Phage  $\lambda$  makes turbid plaques on *E. coli* not carrying  $\lambda$ . The turbid centres of the plaques consist of bacteria which have become lysogenic for phage  $\lambda$ . CI repressor of Lambda prevents phage  $\lambda$  from making plaques on *E. coli* lysogenic for  $\lambda$ . There are mutants of  $\lambda$  which follow the lytic path exclusively. Some of them occur in the CI repressor gene. They make clear plaques on *E. coli*. There are operator mutants of  $\lambda$  that are capable of making plaques on *E. coli* lysogenic for  $\lambda$ . Here the operator site with which  $\lambda$  repressor interacts has been damaged.

It was the combination of the *lac* and  $\lambda$  systems which made the Jacob–Monod model of negative control of transcription so convincing. Thus most molecular biologists at the time believed that all genetic control is negative. It took years until the first cases of positive control in *E. coli* (*ara*, *mal*) were generally accepted. And it took a decade or longer until it was accepted that in eukaryotes almost all genetic control is positive.

## Steps Involved in Transcription of Multicistronic Operons

In bacteria like *E. coli* most DNA is effectively naked. In contrast, in eukaryotes DNA is packed in chromatin of simple or higher order. Because of the nucleosomes, RNA polymerase can thus not easily recognize TATAAT sequence in eukaryotes to start transcription. However, a DNA sequence may be easily recognized by a protein in *E. coli* DNA. In *E. coli* a 5'TATAAT sequence at the  $-10$  position and a 5'TTGACA sequence at the  $-35$  position ( $+1$  is the start of transcription) suffice to bind RNA polymerase effectively and specifically. In *E. coli*, a particular subunit of RNA polymerase,  $\sigma$  (sigma), recognizes these sequences and guarantees effective, specific DNA binding. Recently it has been shown that an  $\alpha$  subunit of RNA polymerase may bind to an AT-rich region around  $-50$ , the UP element. DNA is in its B form when recognized and so forms the closed complex. The DNA then opens up from the  $-10$  region to the start position and forms the open complex. In the presence of the four trinucleotides UTP, ATP, GTP and CTP (uridine, adenosine, guanosine and cytosine triphosphate) the start of transcription begins, optimally every second. Transcription proceeds then at  $37^\circ\text{C}$  at the rate of about 70 bases per second.

In bacteria like *E. coli*, the DNA that is transcribed often contains several genes. Such an assembly of several genes that are transcribed from one promoter is called an operon. It should be noted that *E. coli* mRNA is, in general, not spliced, in contrast to eukaryotic mRNA. It is also not edited, reverse transcribed or modified at its 5' and 3' ends. It is used for translation as it is. It should be noted that most mRNA of *E. coli* is rather unstable. In general it has a half-life of about 2 min. Thus, in order to be used efficiently it has to be translated into protein while being synthesized.

There are special DNA sequences where transcription stops. Some of these signals do not need the help of a protein. Others do. Regulatory proteins may inhibit the stop of transcription. Transcription may in principle be regulated at all stages between start, elongation and stop. Yet it is evident that most effective negative or positive regulation occurs by inhibiting or enhancing formation of the closed complex.

## Negative Control of Transcription Through a Repressor: The *lac* Operon

The *lac* system was in 1960, and is today, one of the best understood regulatory systems of *E. coli*. Lac repressor was the first repressor ever isolated (Gilbert and Müller-Hill, 1966) and sequenced. It therefore pays to present it here. It is a tetramer. Each monomer consists of 360 residues. Its X-ray structure has been solved (Bell and Lewis, 2000,

2001). It has a modular structure that has been analysed genetically in great detail (Suckow *et al.*, 1996; Pace *et al.*, 1997):

- Residues 1–60 code for the head piece, which binds to operator DNA. To do so it uses an  $\alpha$  helix, the recognition helix, the second helix of a helix-turn-helix motif, to bind in the major groove of one-half of the operator.
- Residues 61–330 of Lac repressor code for the core, which carries one inducer binding site and one dimerization site.
- Residues 330–360 code for two heptad repeats, which guarantee that two dimers form a tetramer.

Thus there are mutations in the head piece which destroy the capacity of Lac repressor to bind to *lac* operator and to repress. They retain the capacity to bind inducer and to form tetramers. Such mutants are negative dominant constitutives ( $I^{-d}$ ). There are mutations in the core which destroy the capacity of Lac repressor to bind inducer but keep the capacity of dimer formation and operator binding. Such mutants are dominant negatives ( $I^s$ ).

The *lac* operator (Figure 1) shows imperfect dyadic symmetry. Each half is bound by one recognition helix. The DNA sequences which are recognized by RNA polymerase, the promoter, and by Lac repressor, the operator, overlap. The centre of symmetry of *lac* operon is 11 bp downstream of the start of transcription. That promoter and operator overlap can be demonstrated by DNAase protection experiments. Thus RNA polymerase and Lac repressor cannot bind to their binding sites at the same time. The stronger the Lac repressor binding, the less RNA polymerase is bound and the less mRNA of the *lacZ* gene is produced.

5' AATTGTGAGCGGATAACAATT  
TTAACTCGCCTATTGTTAA 3'

Figure 1 The *lac* operator.

When the DNA of the *lac* operon was sequenced, two additional sequences which looked like *lac* operator were found. One of them, O2, is situated 401 bp downstream from the centre of symmetry of Lac O1 (the author now calls *lac* operator O1). The other sequence is situated 92 bp upstream of the centre of symmetry of O1. O2 binds Lac repressor less well than O1 by a factor of 5. O3 binds Lac repressor less well than O1 by a factor of 300.

No constitutive mutations were ever found in these O2 or O3 sequences. Therefore, for a long time they were regarded as nonfunctional leftovers from evolution. This was all wrong. It was indeed shown that when just O2 or O3 is destroyed, repression decreases by a factor of 2–3. However, when O2 and O3 are both destroyed, repression decreases by a factor of 70! How can this be explained? It was

proposed that tetrameric Lac repressor forms loops either between O1 and O2 or between O1 and O3. If formation of one of the loops is made impossible by the destruction of either O2 or O3, then the other loop can still form. But if both O2 and O3 are destroyed no more loops can be formed. Repression has to occur exclusively from O1.

But why does a loop between O1 and O2 or O3 lead to stronger repression than binding of repressor at O1 alone? There is a simple, reasonable explanation: if tetrameric Lac repressor is bound with two subunits to O2 or O3, then the two subunits which are free have come rather close to O1. Their local concentration in regard to O1 is thus strongly increased. It is increased more so from O3 than from O2. This increases the binding capacity of these Lac repressor dimers to O1. This then leads to less of the RNA polymerase binding to the promoter, i.e. more repression. In the distance of more than 600 bp the local concentration of a repressor becomes unimportant: it reaches the level of repressor floating freely around in the cell, i.e.  $10^{-8}$  M (Müller-Hill, 1998).

Two such independent, parallel devices of any function are often called redundant. This term is misleading. The author thus prefers to call O2 and O3 auxiliary or supplementary instead of redundant, pseudo (i.e. lying) operators (Figure 2). Only complexes that are unique in *E. coli* allow the actions of repressors which are further away than

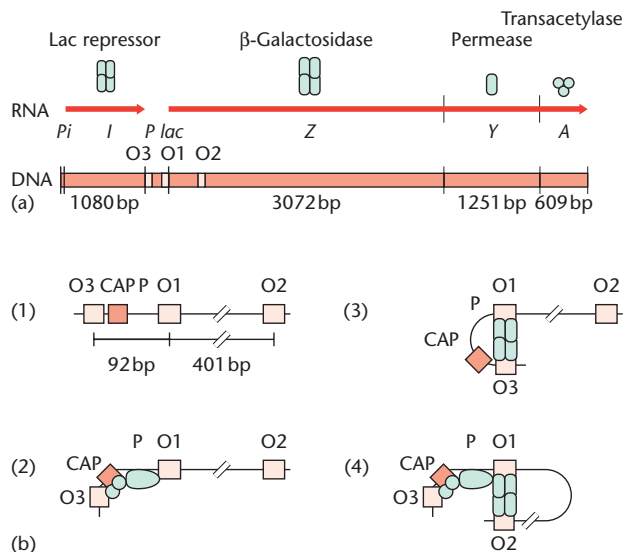


Figure 2 Model of Lac repressor. (a) The *lac* system. (b) (1) The *lac* promoter region. (2) Unrepressed transcription. (3) Repression by tetrameric Lac repressor binding to O1 and O3. The catabolite repressor protein (CAP) site and the *Pi* promoter are depicted as being unoccupied. There is no evidence for or against this. (4) Repression by tetrameric Lac repressor binding to O1 and O2. It is likely but not explicitly demonstrated that the CAP and RNA polymerase are bound to their sites. From Oehler S, Amouyal M, Kolkhof P, Wilcken-Bergmann Bv and Müller-Hill B (1994) Quality and position of the three *lac* operators of *E. coli* define efficiency of repression. *EMBO Journal* 13: 3348–3355.

600 bp from the promoter. Such complexes do not exist in the *lac* system but they exist in the genome of phage  $\lambda$ . If two dimers of  $\lambda$  repressor bind to two properly spaced  $\lambda$  operators (to OR1 and OR2 and to OL1 and OL2), then the tetramers formed on DNA interact with each other and form an octamer (Révet *et al.*, 1999; Dodd *et al.*, 2001). The loop is now 2.4 kbp long.

## Positive Control of Transcription Through an Activator: The *lac* Operon

When negative control of the *lac* operon was proposed in 1960–1961 by Jacob and Monod, that the *lac* operon is also positively regulated was completely overlooked. A detailed genetic analysis indicated that mutations in two loci outside the *lac* operon lead to a 50-fold decrease of  $\beta$ -galactosidase synthesis. It was found that one of these genes codes for cyclic adenosine monophosphate (cAMP) synthetase, whereas the other codes for a protein which was then called catabolite activator protein (CAP) or catabolite repressor protein (CRP). It was shown that CAP/CRP binds in the presence of cAMP to specific sequences of DNA and bends the DNA strongly. Is it the bending itself that activates RNA polymerase? We think not. The bending, of course, is an integral part of the complex formed of CAP and RNA polymerase on the DNA. The centre of symmetry of one such CAP-binding sequence was found 61.5 bp upstream of the transcriptional start of the *lac* operon. How does CAP increase the efficiency of the *lac* promoter 50-fold? It binds weakly to RNA polymerase. It has been shown in detail which regions of CAP bind to which regions of the  $\alpha$  subunit of RNA polymerase ( $\alpha$  CTD) (Busby and Ebright, 1994). In the case of the *lac* system it is the downstream subunit which does the interaction. Thus the simplest explanation of the 50-fold activation of transcription is that the presence of CAP at the CAP site increases the local concentration of RNA polymerase at an otherwise inefficient *lac* promoter with an inefficient  $-10$  region. Therefore the concentration of closed complex is increased 50-fold. This then leads to correspondingly more open complex and to more starts of transcription. This interpretation is in line with the recently determined X-ray structure of the CAP- $\alpha$  CTD-DNA complex. The direct protein-protein interactions work without conformational changes (Benoff *et al.*, 2002).

In the case of a repressor loop between O2 and O1, CAP can bind to its binding site; RNA polymerase cannot bind to its promoter. In the case of the loop between O3 and O1, CAP protein cannot bind to its proper site. It can possibly bind when it displaces Lac repressor from O3 to a sequence 5 bp upstream. However, this is not clear.

The level of cAMP depends to some extent on the level of glucose. In *E. coli* CAP is thus used to turn on or to turn off (it then acts as a repressor!) more than a dozen systems.

There exists another, similar protein, FNR, with similar regulatory powers, whose activity is regulated by the availability of oxygen.

## How General is the Regulation of the *lac* Operon? The Lac Repressor Family

The *E. coli* chromosome codes for 16 proteins which are homologous to Lac repressor. Some, but not all of them, have been analysed in detail. What is the bottom line? Every system differs from every other system (Müller-Hill, 2002).

The *gal* system, for example, produces dimeric Gal repressor. Yet the system has two operators separated by 114 bp. The two operators are brought closer to each other by the protein HU, which forms a complex with the DNA in between the two operators. So the two dimeric Gal repressors therefore somehow interact with each other. The CAP site is positioned 41.5 bp upstream of the promoter. This then has the consequence that the upstream subunit of CAP interacts with RNA polymerase.

In the *deo* system, Cyt repressor is also dimeric. It does not inhibit RNA polymerase binding to the promoter. It binds between two CAPs bound at  $-93.5$  and  $-40.5$  and prevents them from binding with their active sites to the active sites of RNA polymerase. So CytR repressor inhibits the functioning of, but not the binding of, two CAPs to their sites on DNA.

Are there systems where a repressor may form a 'road block' that stops progression of RNA polymerase? Pur repressor is reported to repress the *purB* gene by a road-block 242 bp downstream of the start of transcription by a factor of 2–3. We do not know of any natural system with a larger effect.

It seems that every system of the *lac* family has its own way of functioning. The same can be said of transcription regulation in general. So if we compare Lac repression with  $\lambda$  repression we note that both of them do so by competing directly with RNA polymerase. Here the similarity is remarkable. Lac and  $\lambda$  repressors both use an  $\alpha$  helix to bind specifically to the major groove of DNA. This is true for most repressors or activators including CAP. But there are a few repressors, such as methionine repressor and some phage repressors, that use  $\beta$  sheets to recognize the major groove of DNA. And finally, we recall that  $\lambda$  repressor is able to activate its own transcription.

## Other Systems

$\lambda$  Repressor was the other example supporting the Jacob and Monod theory of gene regulation. It inhibits the formation of the closed complex by RNA polymerase at pro-



moters PL and PR (Ptashne, 1987). In the other systems the *trp* operon – the operator which binds to Trp repressor in the presence of the corepressor tryptophan – has its centre of symmetry within the –10 box of the Trp promoter. It thus seems that direct competition of the repressor with RNA polymerase for binding at its promoter, or competition with CAP or another activator for its binding sites, is a major mode of repression. Instead of an inducer or a corepressor, covalent phosphorylation may be used to change the capacity of a repressor or an activator. Such is the case with OmpR, which binds better to its DNA sites when phosphorylated (Head *et al.*, 1998).

There are other methods of regulation of mRNA synthesis which we have not so far encountered; for example, termination and antitermination may be used for transcriptional control. The N protein of phage  $\lambda$  acts as an antiterminator. It is thus essential for the lytic phase of this phage. The *trp* operon uses antitermination to regulate the amount of *trp* mRNA. In the presence of tryptophan a short peptide which is coded by DNA directly in front of a termination signal is translated. This leads to termination of transcription. However, when tryptophan is lacking, the ribosome stops on the two *trp* (UGG) codons which code for this amino acid. This leads then to antitermination. A similar device is found in the *his* operon.

Thus, both start and stop of transcription are used for regulation of transcription. Finally, there are a very few cases in *E. coli* where start of transcription of an operon is inhibited by the formation of a protein–DNA complex that encompasses a large region of the entire operon. Such is the case of the *bgl* operon. However, it is unclear which proteins bind to which sequences.

The major shortcoming of the Jacob–Monod model was the exclusion of the possibility of positive control. Indeed, a detailed analysis indicated that  $\lambda$  repressor activates the synthesis of its own mRNA. And then there are systems like the arabinose or the maltose system. In both cases activators, AraC and MalT, are necessary for transcription of these operons in the presence of arabinose or maltose. Both activators are bound directly upstream of the relevant promoters. Presumably they act by increasing the local concentration of RNA polymerase over their weak promoters. The problems that repressors and activators have to solve in order to work optimally are quite different. A repressor has to bind specifically and tightly to its operator. When it occupies 99% of its operator, it represses 100-fold. In contrast, the activator need not bind too tightly to its site. If it saturates only half its site, it activates to 50% of its capacity. The activator, not the repressor, can bind weakly but specifically to RNA polymerase. It needs only an accurate position on the DNA.

When *E. coli* enters an environment where no more growth is possible the *rpoS* gene is turned on. It produces a sigma factor,  $\sigma^s$ , which allows RNA polymerase to use a new set of promoters that so far had been unavailable. In fact bacteria spend most of their life in stationary phase.

The corresponding sigma factor gene of *Salmonella typhimurium* is a major virulence determinant. Genes involved in degradation of organic compounds such as methylbenzoate and *m*-toluate are controlled by the corresponding gene in *Pseudomonas putida*. It is a great pleasure for today's researchers to analyse these wonderful constructs of evolution.

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